

**COPY**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: OSAMU FURUKAWA, ET AL.

SERIAL NO: NEW DIV. APPLICATION

GAU:

FILED: HEREWITH

EXAMINER:

FOR: ELECTRONIC COMPONENT AND METHOD OF PRODUCTION THEREOF

**INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references which were cited in International Search Report and which are listed on the attached form PTO-1449/892. Copies of the listed references were submitted in Application Serial No. 08/973,858 filed 12/30/97, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
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Dept.: E/M

OSMM&N File No. 201210US-2 DIV

By: GJM/RFC/jmp

Serial No. NEW DIV. APPLICATION

In the matter of the Application of: OSAMU FURUKAWA, ET AL.

For: ELECTRONIC COMPONENT AND METHOD OF PRODUCTION  
THEREOF

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☒ 403 pp. Specification & 165 Claims/Drawings 35 Sheets (Copy)  
(Formals)
- ☒ Combined Declaration, Petition & Power of Attorney 3 pages (Copy)
- ☐ List of Inventor Names and Addresses
- ☒ Utility Patent Application ☐ CPA
- ☒ Notice of Priority ☐ Priority Doc
- ☒ Check for \$710.00 ☒ Dep. Acct. Order Form
- ☒ Fee Transmittal Form
- ☐ Assignment pages/PTO-1595
- ☐ Letter to Official Draftsman
- ☐ Letter Requesting Approval of Drawing Changes
- ☐ Drawings sheets ☐ Formal
- ☐ Letter Cover
- ☒ Preliminary Amendment
- ☒ Information Disclosure Statement ☒ PTO-1449/892
- ☐ Cited References
- ☐ Search Report
- ☐ Statement of Relevancy
- ☐ IDS/Related/List of Related Cases
- ☐ Restriction Response ☐ Election Response
- ☐ Rule 132 Declaration
- ☐ Petition for Extension of Time
- ☐ Notice of Appeal
- ☐ Brief
- ☐ Issue Fee Transmittal
- ☒ White Advanced Serial Number Card
- ☐ Statement Deleting Inventors
- ☐



Due Date: 1/31/01